Attorney Docket No. A-68678 Dorsey File No. 467766-00093

Ouellette, Jonathan P.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALLAN et al.

Serial No.:

09/653,767

Filing Date:

September 1, 2000

Frames-Based Advertising Service

with Response and Activity Reporting

Alexandria, VA 22313-1450 on:

Examiner:

Art Unit:

July 26, 2004

CERTIFICATE OF MAILING

Date:

3629

I hereby certify that this correspondence, including listed enclosures, is being deposited with sufficient postage with the United States Postal Service as First Class Mail in an envelope

addressed to: Commissioner for Patents, P.O. BOX 1450,

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying substitute for Form PTO/SB/8A-B, Substitute for Form PTO-1449. Copies of the references are enclosed.

None of the foregoing references is believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has

been made or that better art does not exist.

07/28/2004 HLE333 00000023 09653767

01 FC:1806

180.00 OP

SF-1142899_1

USSN:

09/653,767

Filing Date:

September 1, 2000

Pursuant to 37 C.F.R. § 1.97(c), enclosed is a check in the amount of \$180.00 as set forth in 37 C.F.R. § 1.17(p). While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 467766-00093 (A-68678)).

Please direct any calls in connection with this application to the undersigned at (650) 494-8700.

Respectfully submitted,
DORSEY & WHITNEY LLP

Dated: July 26, 2004

Dorsey & Whitney LLP

Intellectual Property Department

Four Embarcadero Center, Suite 3400

San Francisco, CA 94111-4187

Ball Halloisco, Ci

Telephone: (650) 494-8700

Facsimile:

(650) 494-8771

BY:

R. Michael Ananian, Reg. No. 35,050

Filed under 37 C.F.R. § 1.34(a)

Customer Number: 32940

Attachments:

Check in the amount of \$180.00 Form PTO/SB/8A-B, Substitute for Form PTO-1449

Forty-nine (49) references

2 8 2004 BANE

Sheet

Substitute for form 1449A/PTO (Modified)

ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1

3

	Complete if Known	
Application Number	09/653,767	
Filing Date	September 1, 2000	7
First Named Inventor	Scott T. ALLAN	- -
Art Unit	3629	
Examiner Name	Ouellette, Jonathan P. JUL 3 0 2004	1
Attorney Docket Number	A-68678 (467766-00093)	7 /7

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
	A1	5,025,372	06-18-1991	Burton et al.	
	A2	5,120,906	06-09-1992	Protheroe et al.	
	A3	5,144,115	09-01-1992	Yoshida	
	A4	5,380,958	01-10-1995	Protheroe et al.	
	A5	5,448,044	09-05-1995	Price et al.	
	A6	5,459,306	10-17-1995	Stein et al.	
	A7	5,561,282	10-01-1996	Price et al.	
	A8	5,642,485	06-24-1997	Deaton et al.	
	A9	5,739,512	04-14-1998	Tognazzini	
	A10	5,761,650	06-02-1998	Munsil et al.	
	Ä11	5,806,045	09-08-1998	Biorge et al.	
	A12	5,910,988	06-08-1999	Ballard	
	A13	6,009,411	12-28-1999	Kepecs	
	A14	6,047,269	04-04-2000	Biffar	
	A15	6,070,147	05-30-2000	Harms et al.	
	A16	6,076,068	06-13-2000	DeLapa et al.	
	A17	6,101,482	08-08-2000	DiAngelo et al.	
	A18	6,233,682 B1	05-15-2001	Fritsch	
	A19	6,246,997 B1	06-12-2001	Cybul et al.	
	A20	6,249,773 B1	06-19-2001	Allard et al.	
	A21	6,317,650 B1	11-13-2001	Powell et al.	
	A22	6,336,099 B1	01-01-2002	Barnett et al.	
	A23	6,341,353 B1	01-22-2002	Herman et al.	
	A24	6,415,261 B1	07-02-2002	Cybul et al.	
	A25	6,424,949 B1	07-23-2002	Deaton et al.	
	A26	6,443,839 B2	09-03-2002	Stockdale et al.	
	A27	6,456,981 B1	09-24-2002	Dejaeger et al.	
	A28	6,490,602 B1	12-03-2002	Kraemer	
	A29	6,540,137 B1	04-01-2003	Forsythe et al.	
	A30	6,543,683 B2	04-08-2003	Hoffman	
	A31	6,574,606 B1	06-03-2003	Bell et al.	
	A32	6,598,026 B1	07-22-2003	Ojha et al.	
	A33	 	08-19-2003	Robertson	
	A34		08-26-2003	Lee et al.	
,	A35	2003/0095646 A1	05-22-2003	Paschini	

Examiner	Date Considered
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional).

² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JUL 2 8 2004

Substitute for form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many shee	ets as ne	cessary)
2	of.	

				-
	Complete if Known			
Application Number	09/653,767	DEC	限別圖	
Filing Date	September 1, 2000		A Process I As E	
First Named Inventor	Scott T. ALLAN	JUL	3 0 200	4
Art Unit	3629			
Examiner Name	Ouellette, Jonathan		JP3	50 0
Attorney Docket Number	A-68678 (467766-00	093)		

Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (<i>if</i> <i>known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т ⁶
	B1	EP 0 474 360 A2	03-11-1992	Visa International Service Assoc		
	B2	EP 1 195 706 A2	04-10-2002	NCR International, Inc.		
	B3	EP 1 195 728 A2	04-2002	NCR International, Inc.		
						<u> </u>
	+			·		
	1					
	_			·		
	†					
	+-					
	+					T
	+					
	+:-					1.
•	+					
			,,,,,			
	<u> </u>					1.
	+					T
	-					
	+					
	+					
			7			
	_					
	+	*				
	+					1.
	1					1
	+					
	-					+

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Interest of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.

Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including attention or repairing and submitting the complete applicants from to the USPTO. Time will vary depending on the individual case. Any comments on

use 10 to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 nours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JUL 2 8 2004

Bore

Sheet

Substitute for form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

3

	Complete if Known
Application Number	09/653,767
Filing Date	September 1, 2000
First Named Inventor	Scott T. ALLAN JUL 3 0 2004
Art Unit	3629 1000119000
Examiner Name	Ouellette, Jonathan RATION OF SOUR
Attorney Docket Number	A-68678 (467766-00093)

NON PATENT LITERATURE DOCUMENTS					
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶			
C1 /	Anonymous, "@pos.com Unveils Internet Initiative for Web-Enabling Retail Point-of-Sale", PR Newswire, June 29, 1999, 4 pages.				
C2 /	Anonymous, "Tech Trends", Progressive Grocer, May 2000. (ABSTRACT)	<u> </u>			
C3 /	BANK, David "Electronic Commerce Debuts on Internet", Austin American Statesman, April 12, 1994, page E1.				
C4	BURKE, R., "NCR: Internet Leaders Unite to Define Next Wave in E-Commerce", M2 Presswire, January 20, 2000. (ABSTRACT)	-			
C5 /	CLARK, "A good idea on paper", Chain Store Age, March 2000. (ABSTRACT)				
C6 /	FERGUSON, O., "NCR Lobbies for Digital Receipts", Computer Dealer News, April 21, 2000. (ABSTRACT)				
C7 /	GAGE, Deborah, "Sun: JavaWallet in pocket – Electronic wallet can organize, display transactions, credit data", Computer Reseller News, August 26, 1996, page 74.				
C8 _	GORADIA et al., "NetBill 1994 Prototype", Carnegie Mellon University, 1994, 91 pages.				
C9	SLIWA, C., "E-receipts on the retail front", Computerworld, January 24, 2000. (ABSTRACT)				
C10	SPORICH, B., "Digital receipts could link retail stores, e-commerce", Video Store Magazine, January-February 2000. (ABSTRACT)				
C11	STOCK, H., "Not All Are Sold on Web Point of Sale Terminals", American Banker, March 28, 2000. (ABSTRACT)				
					
† —					
-					
+					
 					
	C1 / C2 / C3 / C4 C5 / C6 / C8 / C9 C10	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. C1			

Examiner	Date Considered
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional).

² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering preparing and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on

including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.